

12-17-01

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37 C.F.R. § 1.8(a)

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*Patricia Meade*  
Patricia Meade

jc997 U.S. PTO  
10/016784  
11/30/01

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 12569-14/NEC  
Inventor(s) : Bin Zhao  
Title : CHANNEL INTERLEAVER WITH LOW DISPERSION

Express Mail Label No. : EL595990448US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: November 30, 2001

1. X **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. X Applicant claims small entity status under 37 CFR §1.27.

3. **IF A CONTINUING APPLICATION**

X This application is a continuation-in-part of patent application No. 09/876,647 which was filed on June 7, 2001(Docket No. 12569-13).

— This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_ (Docket No. \_\_\_\_\_).

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. **APPLICATION COMPRISED OF**

(a) Specification

51 Specification, claims and Abstract (total pages)

(b) Drawings

10 Sheets of drawing(s) (FIGS. 1 to 28)

(c) Declaration and Power of Attorney

X Newly executed

— Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)

— An **unsigned** declaration

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 12569-14/NEC

5. ☐ **Microfiche Computer Program** (*Appendix*)
6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)
- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

**7. ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other


**8. CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP**  
**Department, P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California**  
**92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By

  
Norman E. Carte  
Reg. No. 30,455  
(949) 737-4703

NEC/pm

# FEE TRANSMITTAL UTILITY PATENT APPLICATION

**DATE: November 30, 2001**

Docket No. : 12569-14/NEC  
Inventor(s) : Bin Zhao  
Title : CHANNEL INTERLEAVER WITH LOW DISPERSION

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	18 - 20 = <2>	0	0 x \$9.00	\$0.00
B	INDEPENDENT CLAIMS	3 - 3 = 0	0	0 x \$40.00	\$0.00
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$0.00
D	BASIC FEE	SMALL ENTITY FEE = \$370.00 LARGE ENTITY FEE = \$740.00			\$370.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$140.00 LARGE ENTITY FEE = \$280.00			
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$370.00
List Independent Claims: 1, 6 and 18					

List Independent Claims: 1, 6 and 18

## METHOD OF PAYMENT

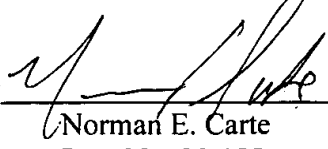
☒ Payment Enclosed: Check for \$ 370.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

☐ No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By   
Norman E. Carte  
Reg. No. 30,455